

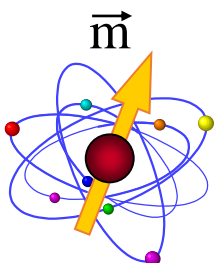
## *Lecture 8*

### *Dynamics for quantized spins: Spin Hamiltonian*

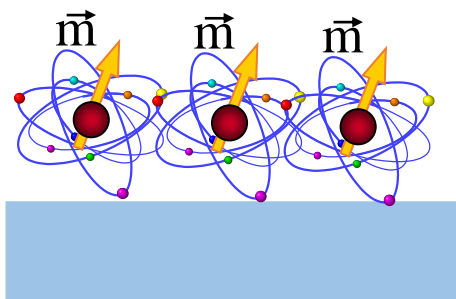
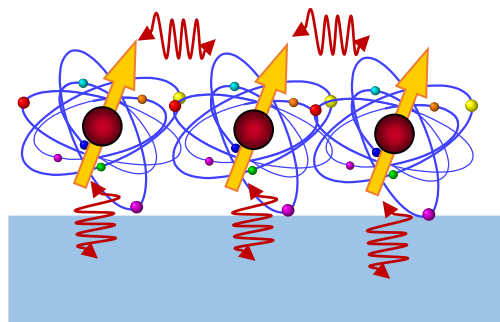


# The spintronics “goose game”

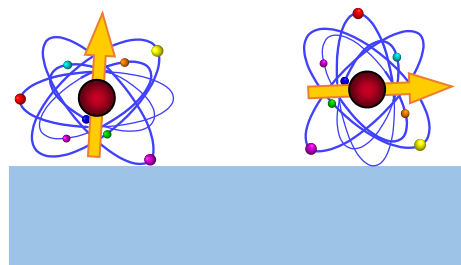
## Atom magnetism



interactions between spins and with the supporting substrate

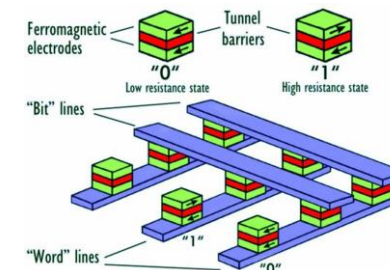
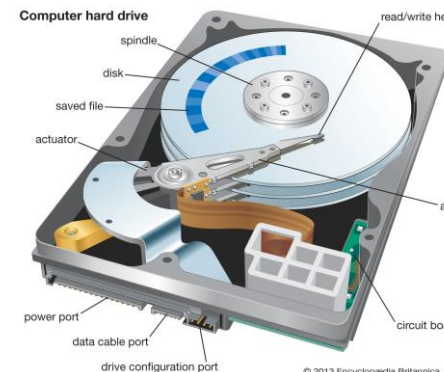


magnetic moment in a cluster and/or on a support

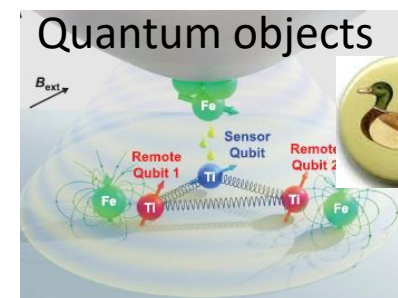
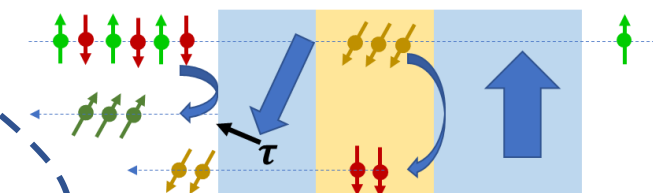


Magnetization easy axis

## applications

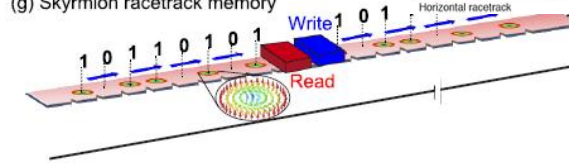


## STT - SOT



Future

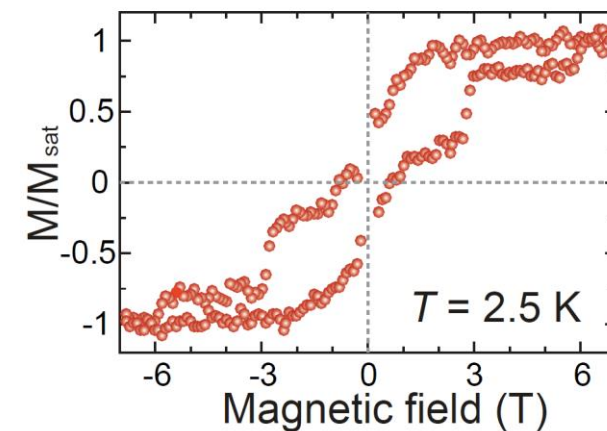
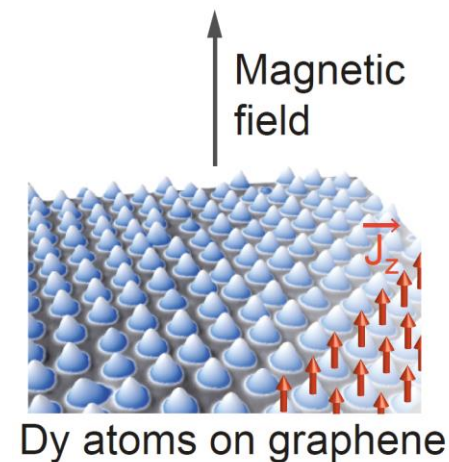
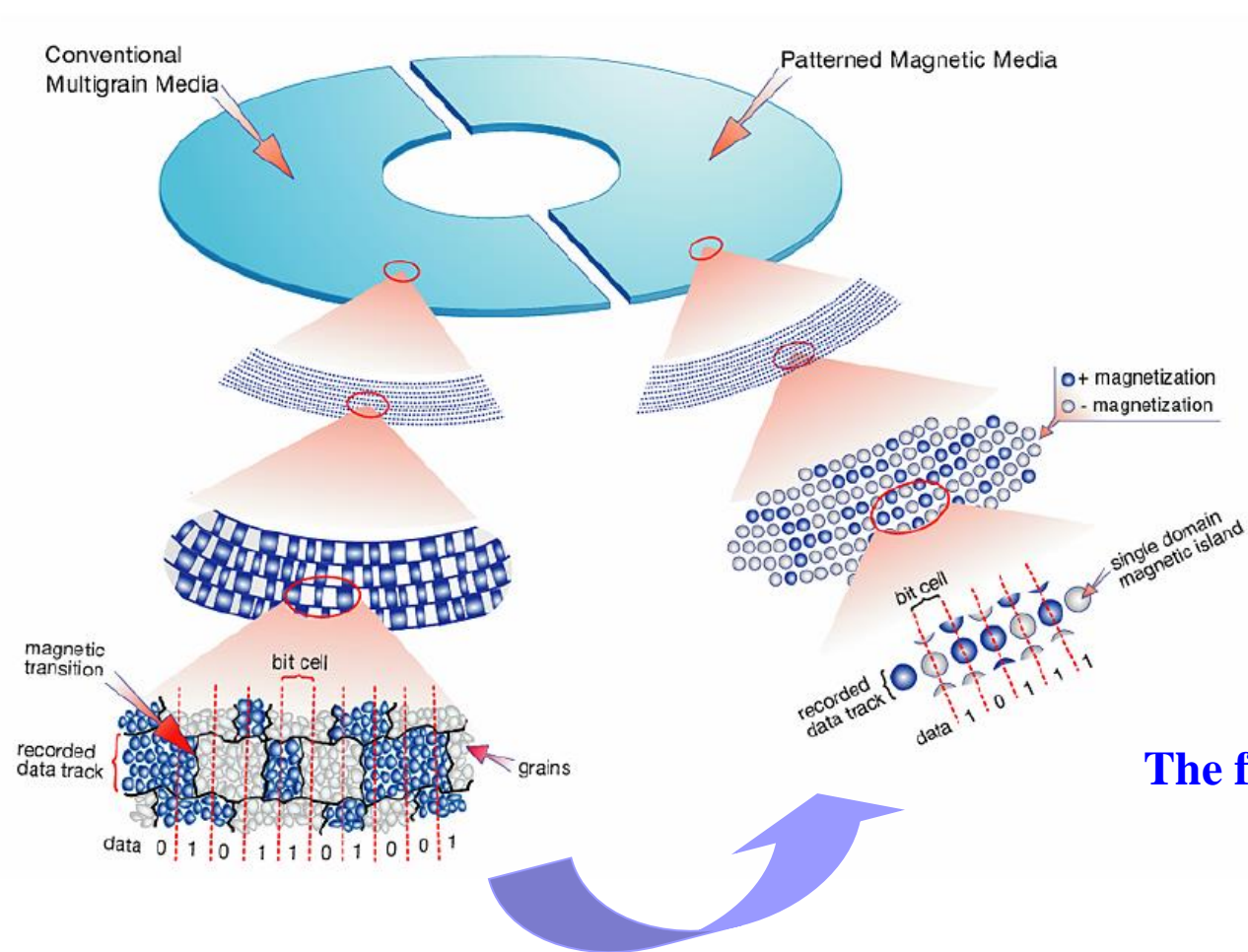
(g) Skyrmion racetrack memory





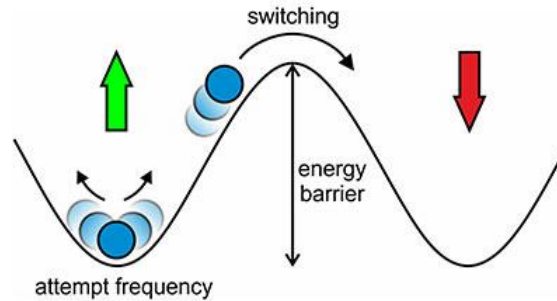
## Conventional Media vs. Patterned Media

HITACHI  
Inspire the Next

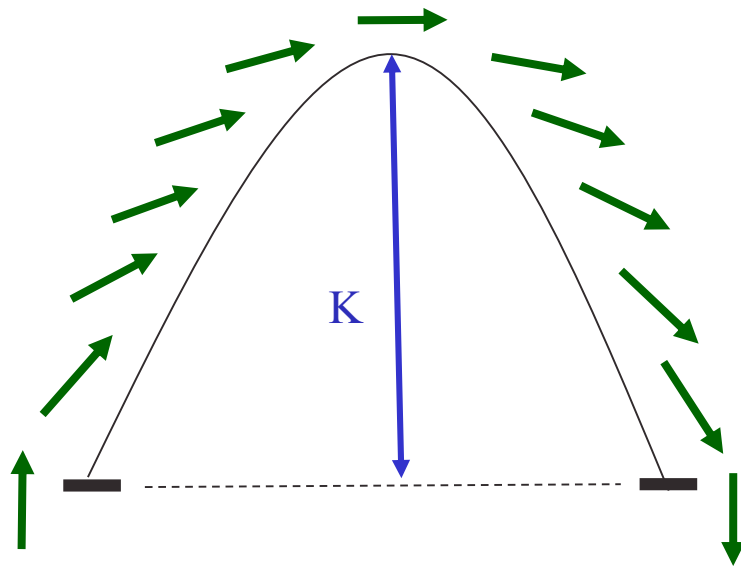


**The future of the future: single atom per bit**

**The future: single particle per bit**

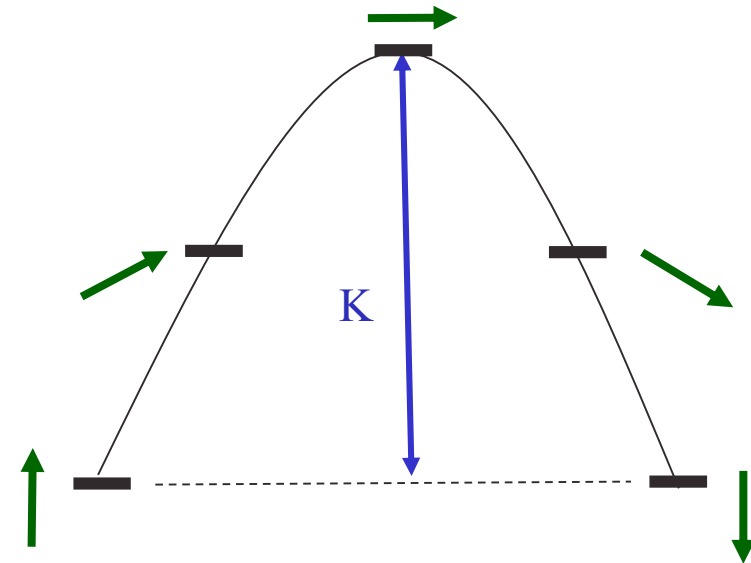


Classic



Continuous magnetization rotation:  
the magnetization rotates and passes  
through the hard axis direction

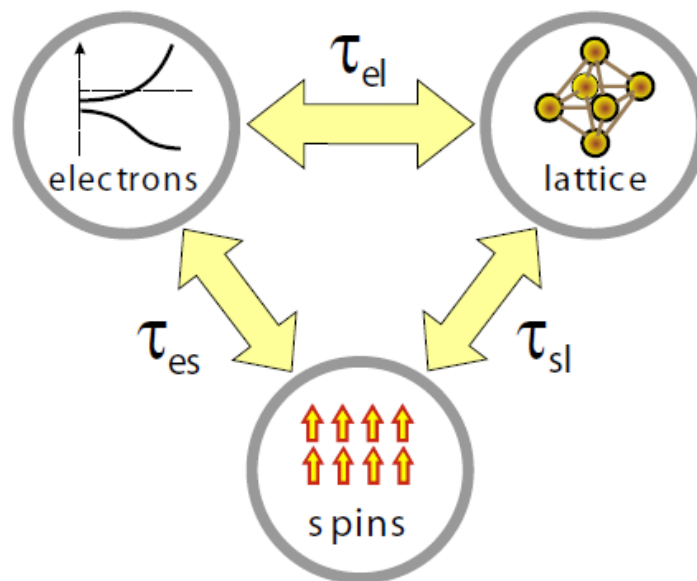
Quantum



Only a discrete number of states  
are available

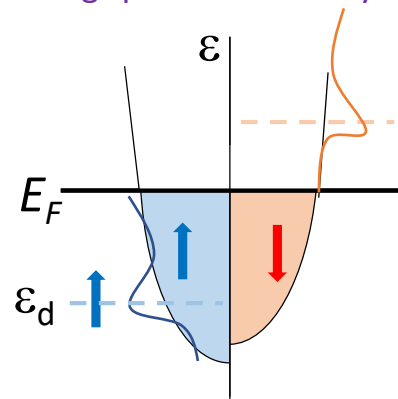


doi:10.1088/0034-4885/76/2/026501



## Classic

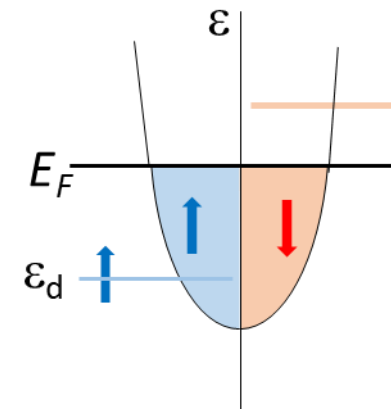
Ex.: Strong spin – electron hybridization



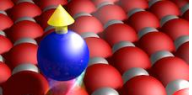
Strong spin – electron (phonon) hybridization  $\Rightarrow$  continuous spin reversal models (ex.: LLG eq.)

## Quantum

Ex.: poor spin – electron hybridization



Poor spin – electron (phonon) hybridization  $\Rightarrow$  how do we model the spin reversal ?



Transition metals

$$H_{tot} = H_{e-e} + H_{CF} + H_{SOC} + H_Z$$

$$\mathcal{H}_{sp-orb} = \lambda \mathbf{L} \cdot \mathbf{S}$$

$$\mathcal{H}_Z = \mu_B (\mathbf{L} + 2\mathbf{S}) \cdot \mathbf{H}$$

$$H = H_{e-e} + H_{CF}$$

$|\Gamma, \gamma\rangle |S, M_S\rangle$  Basis that diagonalize  $H$  ( $\Gamma$  is the orbital part)

$$\mathcal{H}_{eff} = \langle \Gamma, \gamma | \mathcal{H}_{sp-orb} + \mathcal{H}_Z | \Gamma, \gamma \rangle$$

SOC + Zeeman in second order perturbation theory

$$= 2\mu_B \mathbf{H} \cdot \mathbf{S} - \sum_{\Gamma', \gamma'} \frac{|\langle \Gamma', \gamma' | \mu_B \mathbf{H} \cdot \mathbf{L} + \lambda \mathbf{L} \cdot \mathbf{S} | \Gamma, \gamma \rangle|^2}{E_{\Gamma', \gamma'} - E_{\Gamma, \gamma}}$$



$$\mathcal{H}_{eff} = 2\mu_B \mathbf{H} \cdot \mathbf{S} - 2\mu_B \lambda \sum_{\mu, \nu} \Lambda_{\mu\nu} S_\mu H_\nu - \lambda^2 \sum_{\mu, \nu} \Lambda_{\mu\nu} S_\mu S_\nu - \mu_B^2 \sum_{\mu, \nu} \Lambda_{\mu\nu} H_\mu H_\nu$$

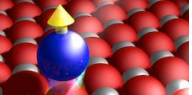
$$\Lambda_{\mu\nu} = \sum_{\Gamma', \gamma'} \frac{\langle \Gamma, \gamma | L_\mu | \Gamma', \gamma' \rangle \langle \Gamma', \gamma' | L_\nu | \Gamma, \gamma \rangle}{E_{\Gamma', \gamma'} - E_{\Gamma, \gamma}}$$

$\mu, \nu = x, y, z$

$$\mathcal{H}_{eff} = \sum_{\mu, \nu} (\mu_B g_{\mu\nu} H_\mu S_\nu - \lambda^2 \Lambda_{\mu\nu} S_\mu S_\nu - \mu_B^2 \Lambda_{\mu\nu} H_\mu H_\nu)$$



$$g_{\mu\nu} = 2(\delta_{\mu\nu} - \lambda \Lambda_{\mu\nu}) \rightarrow g_{\mu\mu} = 2 \text{ only if } L=0 \rightarrow \text{free electron}$$



$\Lambda_{\mu\nu}$  reflects the symmetry of the crystal.

$$\Lambda_{\mu\nu} = \sum_{\Gamma'\gamma'} \frac{\langle \Gamma, \gamma | L_\mu | \Gamma', \gamma' \rangle \langle \Gamma', \gamma' | L_\nu | \Gamma, \gamma \rangle}{E_{\Gamma', \gamma'} - E_{\Gamma, \gamma}}$$

The spin Hamiltonian must also display this symmetry: in a cubic crystal  $\Lambda_{xx} = \Lambda_{yy} = \Lambda_{zz}$ .

For axial symmetry  $\Lambda_{xx} = \Lambda_{yy} = \Lambda_{\perp}$  and  $\Lambda_{zz} = \Lambda_{\parallel}$

Neglecting  $\mu_B^2 \Lambda_{\mu\nu} H_\mu H_\nu$



$$\mathcal{H}_{\text{eff}} = g_{\parallel} \mu_B H_z S_z + g_{\perp} \mu_B (H_x S_x + H_y S_y) + D [S_z^2 - \frac{1}{3} S(S+1)] + \frac{1}{3} S(S+1) (2 \Lambda_{\perp} + \Lambda_{\parallel}) \lambda^2$$

$D = \lambda^2 (\Lambda_{\parallel} - \Lambda_{\perp})$  contains all the information concerning the crystal field i.e. the orbital moment anisotropy

The Spin Hamiltonian is a phenomenological Hamiltonian with  $D$ ,  $g_{\parallel}$  and  $g_{\perp}$  as parameters:

- $D$  is used to describe the uni-axial anisotropy
- $g_{\parallel}$  and  $g_{\perp}$  reflect the anisotropy of the orbital moment





Example: axial symmetry ( $C_{\infty v}$ ) and  $H = (0, 0, H_z)$

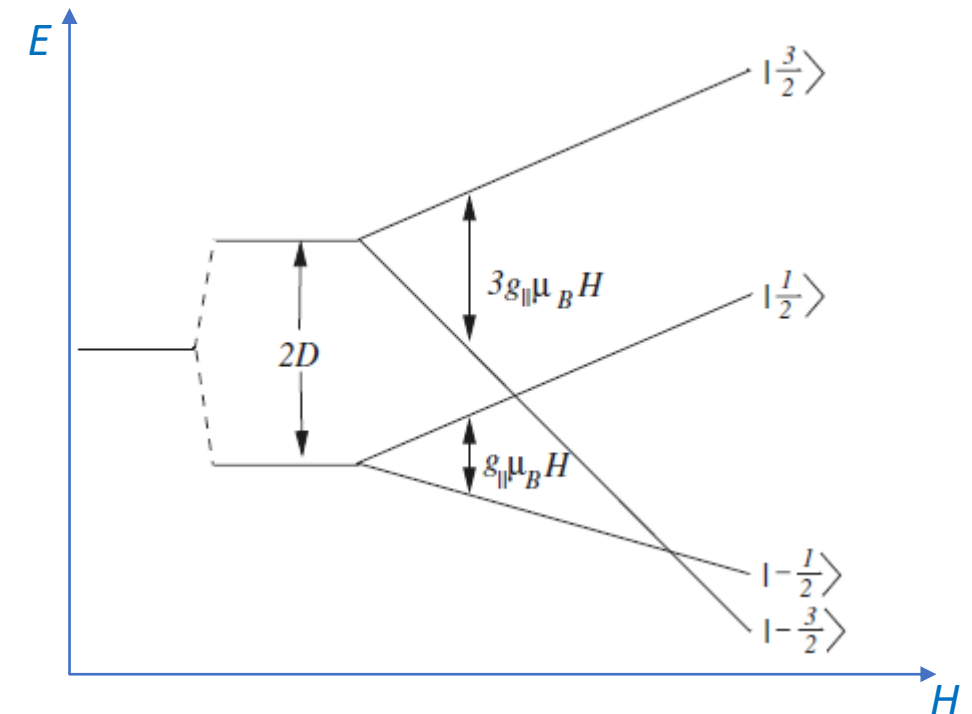
$$H_{eff} = g_{\parallel} \mu_B (H_z S_z) + D S_z^2 + \frac{1}{3} S(S+1) [\lambda^2 (\Lambda_{\perp} - \Lambda_{\parallel}) + \lambda^2 (2\Lambda_{\perp} + \Lambda_{\parallel})] = g_{\parallel} \mu_B (H_z S_z) + D S_z^2 + S(S+1) \lambda^2 \Lambda_{\perp}$$

$S = 3/2$  and  $D > 0$  (we can neglect the effect of  $S(S+1) \lambda^2 \Lambda_{\perp}$  since it is just a shift in energy)

$$H_{eff} = g_{\parallel} \mu_B (H_z S_z) + D S_z^2$$

$$D = \lambda^2 (\Lambda_{\parallel} - \Lambda_{\perp})$$

$$\mathcal{H}_{eff} = \begin{matrix} & \begin{matrix} |-\frac{3}{2}\rangle & |-\frac{1}{2}\rangle & |\frac{1}{2}\rangle & |\frac{3}{2}\rangle \end{matrix} \\ \begin{matrix} \langle-\frac{3}{2}| \\ \langle-\frac{1}{2}| \\ \langle\frac{1}{2}| \\ \langle\frac{3}{2}| \end{matrix} & \begin{bmatrix} D - \frac{3}{2}g_{\parallel}\mu_B H & 0 & 0 & 0 \\ 0 & -D - \frac{1}{2}g_{\parallel}\mu_B H & 0 & 0 \\ 0 & 0 & -D + \frac{1}{2}g_{\parallel}\mu_B H & 0 \\ 0 & 0 & 0 & D + \frac{3}{2}g_{\parallel}\mu_B H \end{bmatrix} \end{matrix}$$



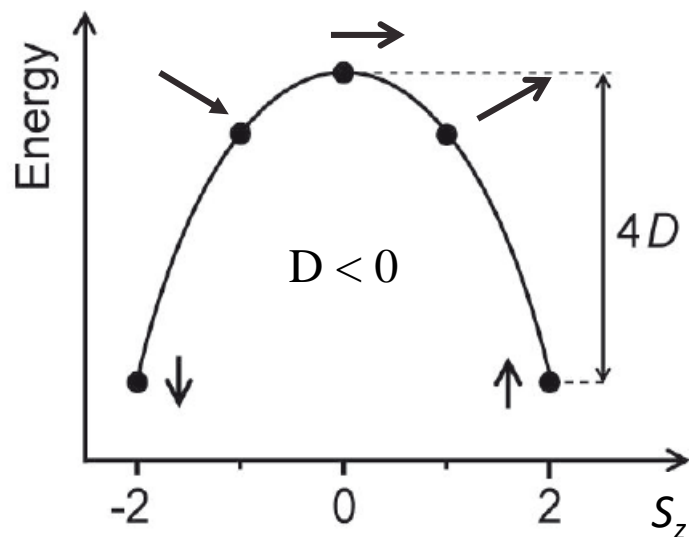




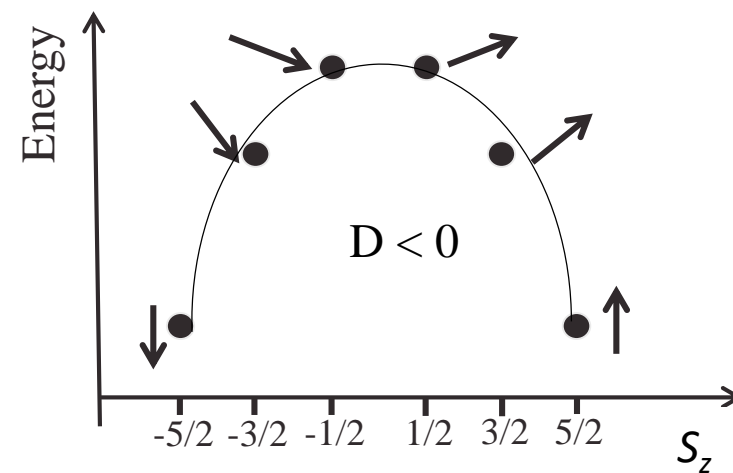
Energy barrier for spin reversal ( $E_b$ ): easy axis along  $z$

$$E_b = DS_z^2 \quad (S \text{ integer})$$

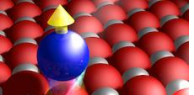
$S = 2$



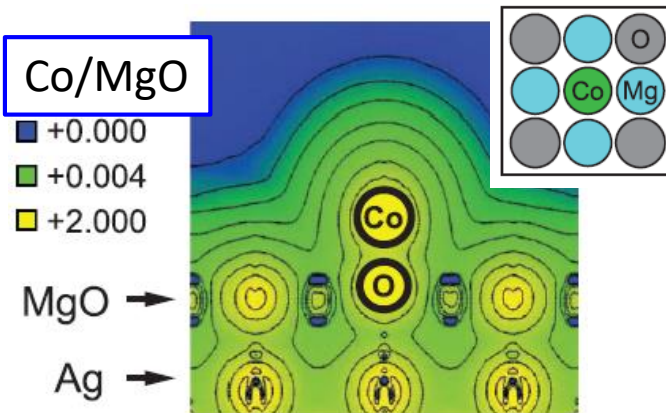
$$E_b = D \left( S_z^2 - \frac{1}{4} \right) \quad (S \text{ half-integer})$$



$S = 5/2$

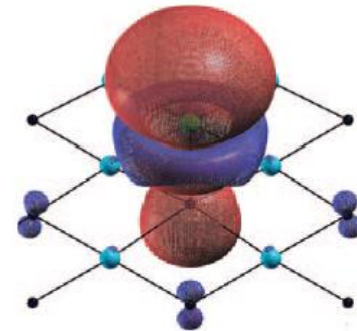


## Charge distribution



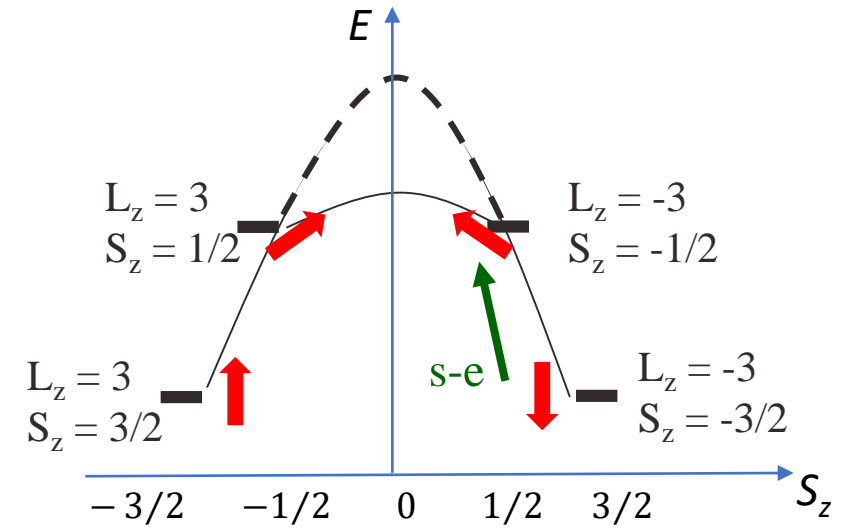
## Spin distribution

Majority Minority

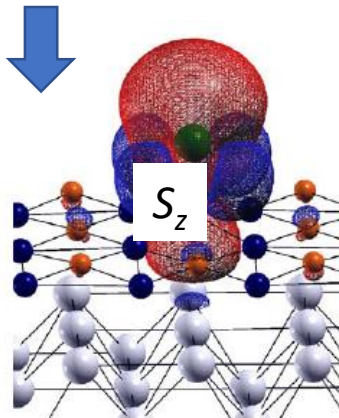
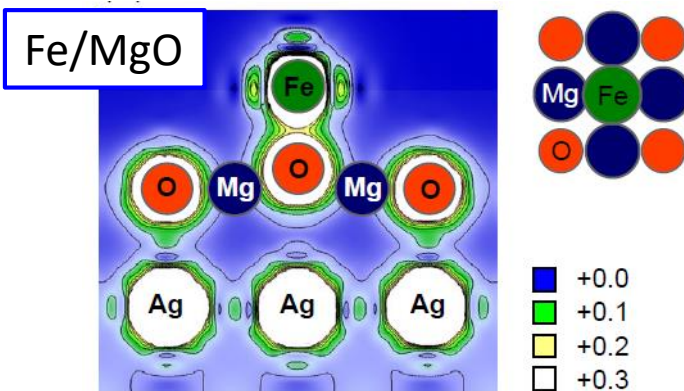


Axial ( $C_\infty$ )  
crystal field

$$H_{eff} = g\mu_B S_z B + DS_z^2$$

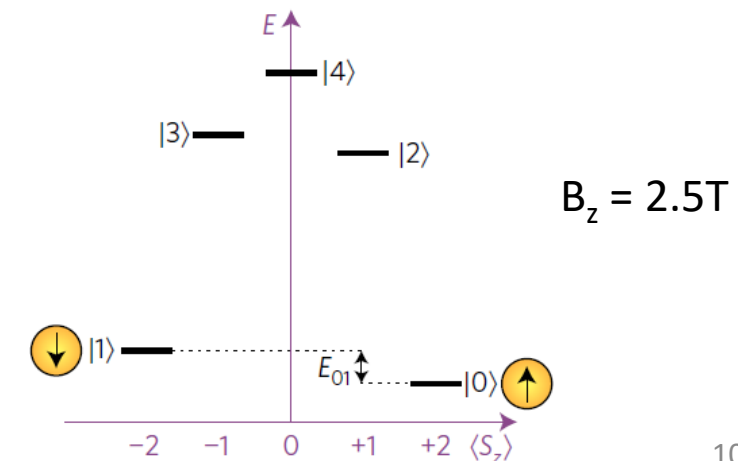


Different interactions with neighbors atoms for Co and Fe



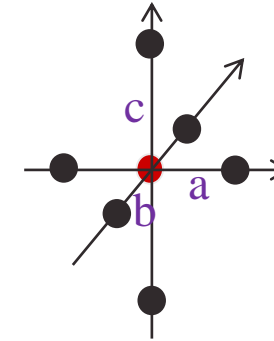
$C_{4v}$   
crystal field

$$H_{eff} = g\mu_B S_z B + DS_z^2 + \dots$$





In general  $\Lambda_{xx}$ ,  $\Lambda_{yy}$ , and  $\Lambda_{zz}$  are different (distorted octahedral symmetry)



$$H_{eff} = g_{\parallel} \mu_B (H_z S_z) + g_{\perp} \mu_B (H_x S_x + H_y S_y) + D S_z^2 + E (S_x^2 - S_y^2)$$

$$D = \lambda^2 \left( \frac{1}{2} \Lambda_{xx} + \frac{1}{2} \Lambda_{yy} - \Lambda_{zz} \right) \approx \frac{\lambda^2}{\Delta E} (L_{\parallel} - L_z)$$

$$E = \lambda^2 \left( \frac{1}{2} \Lambda_{xx} - \frac{1}{2} \Lambda_{yy} \right) \approx \frac{\lambda^2}{\Delta E} (L_x - L_y)$$

$$g_{\mu\nu} = 2(\delta_{\mu\nu} - \lambda \Lambda_{\mu\nu})$$

$D$  and  $E$  are parameters describing the MAE, proportional to:

- a) orbital anisotropy
- b) Spin-orbit constant

$g_{\parallel}$  and  $g_{\perp}$  contain also information about the orbital anisotropy

N.B.:

In the previous equations **S should be considered as a sort of effective spin operator  $S^*$**  to be determined by fitting the data (for example: for rare earths  $S^* = J$ ).



$$H_{eff} = H_{Zee} + \sum_{\substack{k=2 \\ even}}^{2l} \sum_{m=0}^k B_k^m O_k^m$$

$O_k^m$  are the Stevens operators describing the CF in terms of  $J_z$ ,  $J_+$  and  $J_-$

$B_k^m$  are numerical coefficient to adjust (fitting parameters) the weight of each term

$l$  is the orbital angular momentum of the considered shell; thus:

$l = 3$  for rare earth

$l = 2$  for 3d transition metals

$m$  reflects the CF symmetry; for  $C_{nv}$  symmetry,  $m = n \cdot i \quad i = 0, 1, 2, \dots$

Ex. For  $C_{3v}$  symmetric CF  $\Rightarrow H_{CF} = B_2^0 O_2^0 + B_4^0 O_4^0 + B_4^3 O_4^3 + B_6^0 O_6^0 + B_6^3 O_6^3 + B_6^6 O_6^6$

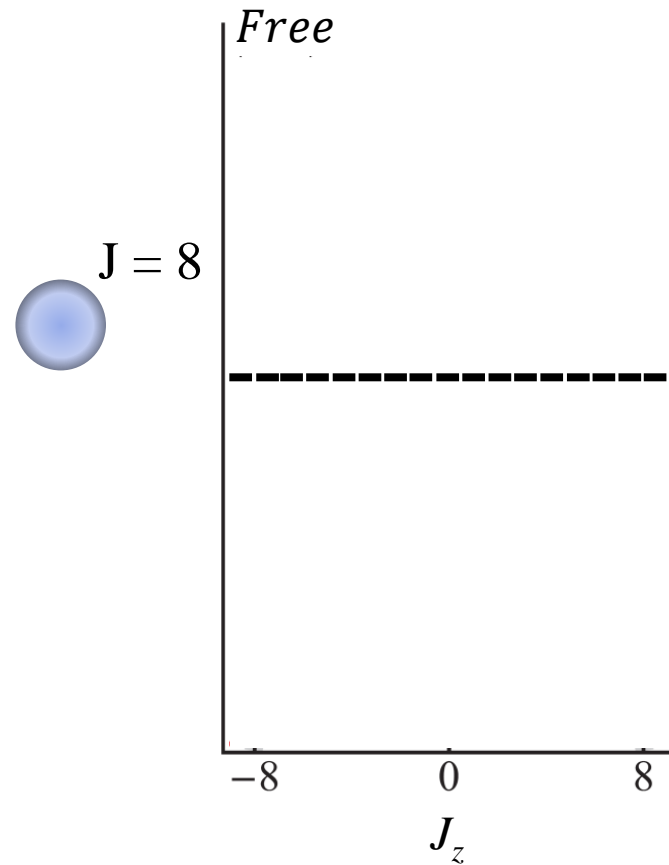
Ex:

$$\begin{aligned} O_2^0 &= 3J_z^2 - J(J+1); & B_2^0 &= D/3 \\ O_2^2 &= \frac{1}{2} (J_+^2 + J_-^2); & B_2^2 &= 2E \\ O_4^0 &= 35J_z^4 - (30J(J+1) - 25)J_z^2 + 3[J(J+1)]^2 - 6J(J+1); \\ O_4^3 &= \frac{1}{4} [J_z(J_+^3 + J_-^3) + (J_+^3 + J_-^3)J_z]; \\ O_4^4 &= \frac{1}{2} (J_+^4 + J_-^4); \end{aligned}$$

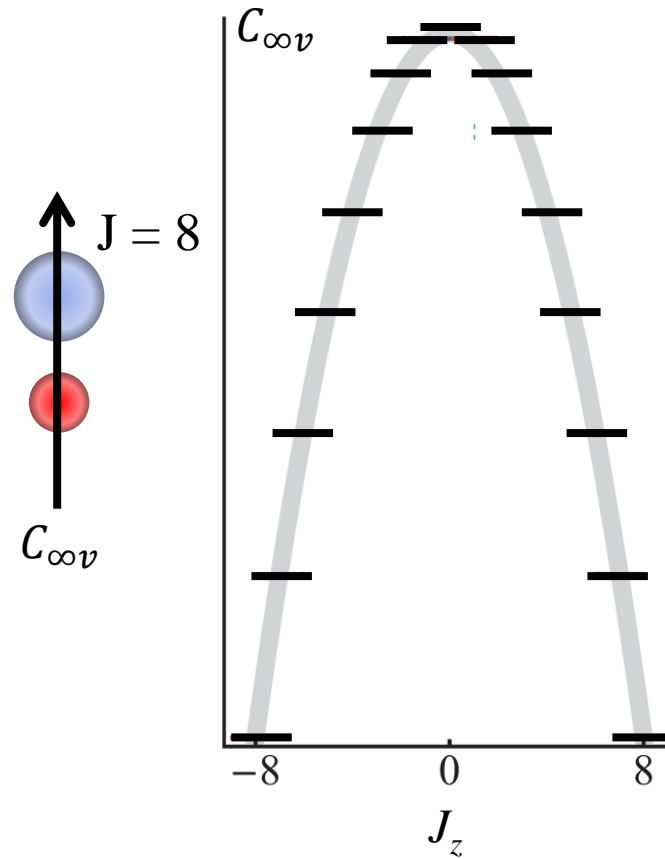
Ladder operators:

$$\hat{l}_{\pm} |Y_l^m\rangle = l_{\pm} |l, m\rangle = \sqrt{l(l+1) - m(m \pm 1)} |l, m \pm 1\rangle$$

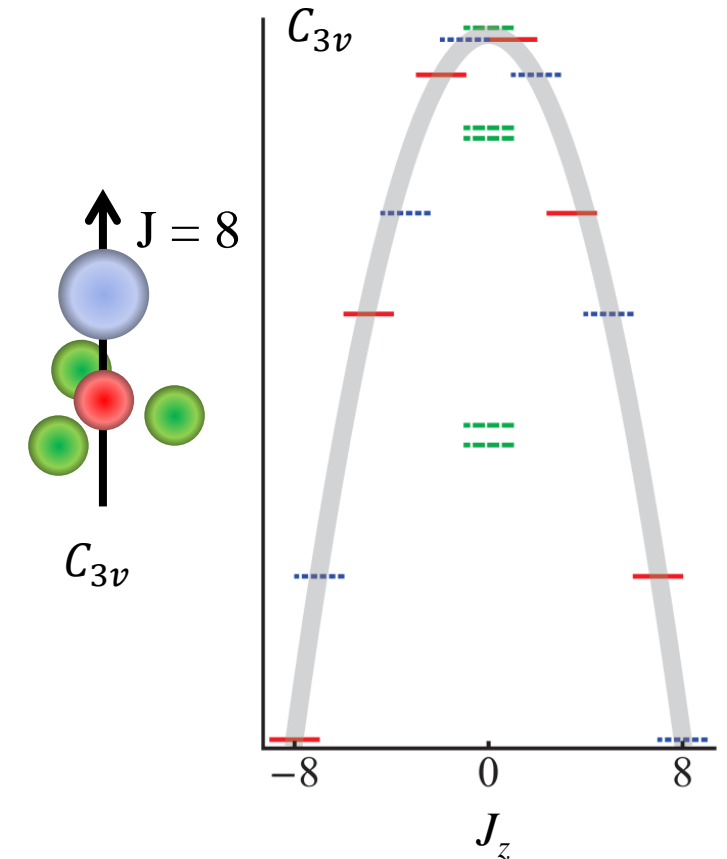
$$l_{\pm}^n |l, m\rangle = \underbrace{l_{\pm} l_{\pm} l_{\pm} \dots l_{\pm}}_{n \text{ times}} |l, m\rangle$$



Free atom:  
The  $2J+1$  states (identified by  $J_z$ )  
are degenerate

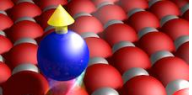


Uniaxial anisotropy:  
pure  $J_z$  states are split



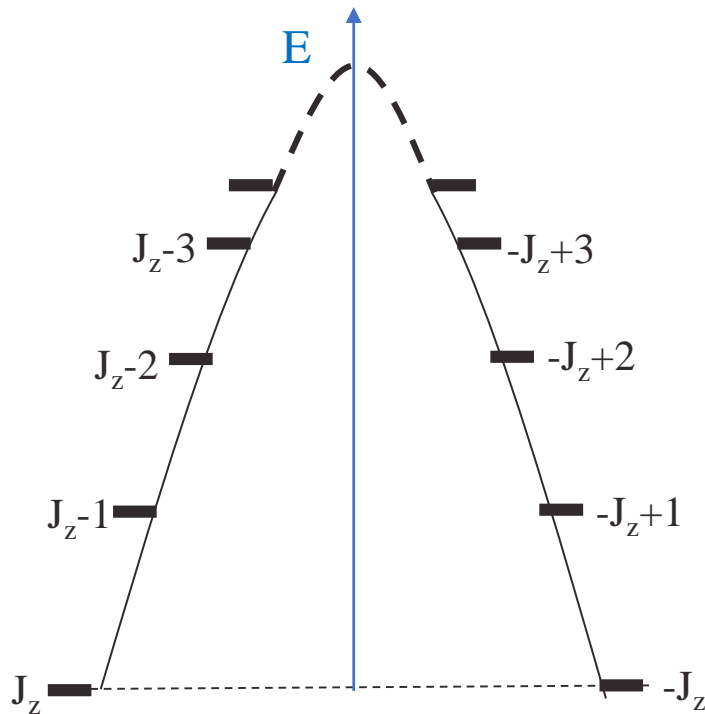
Lower symmetry:  
crystal field contains  $O_k^m$  terms in the Hamiltonian  
mixing  $J_z$  states separated by  $\Delta J_z = m \cdot i \quad i = 0, 1, 2, \dots$

- Mixing of conjugate doublets to form singlets (forbidden for half integer  $J$  due to Kramer's theorem)
- Allowed spin transition across the barrier



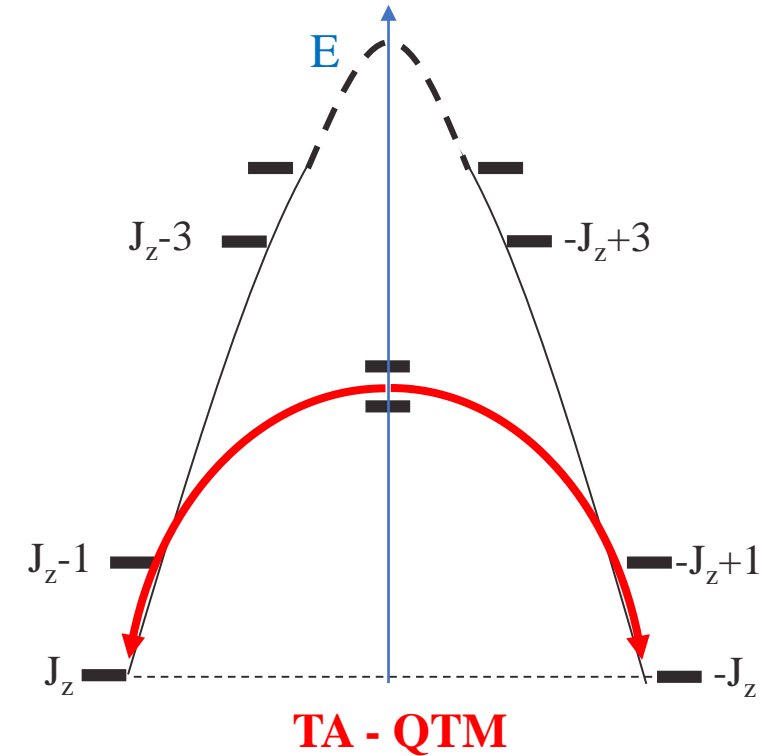
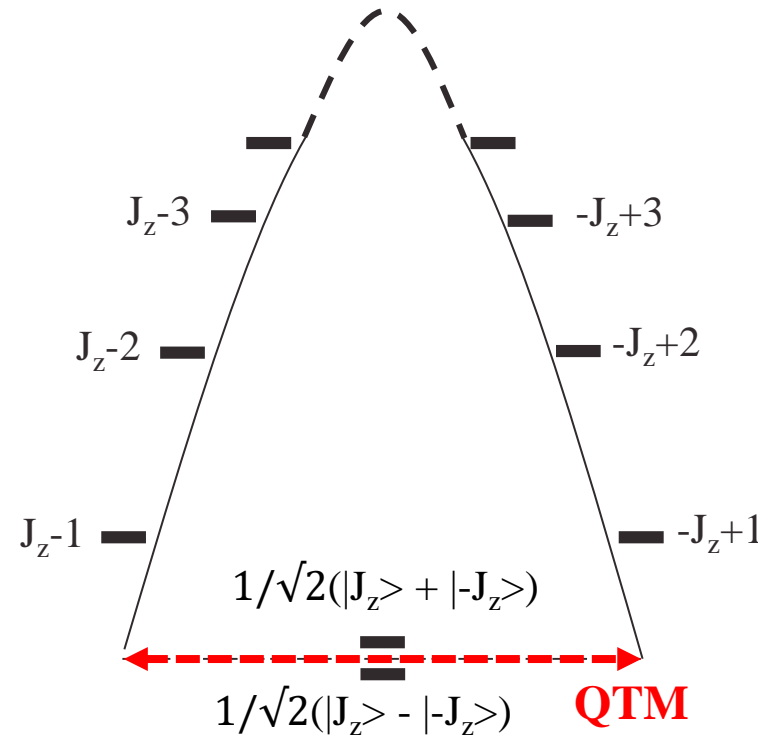
$C_\infty$  symmetry:  $H_{CF} = D J_z^2$

Pure  $J_z$  states  $\rightarrow$  No QTM

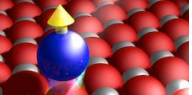


$C_{nv}$  symmetry:  $H_{CF} = D J_z^2 + E (J_+^n + J_-^n)$

$J_\pm^n$  operators mix states satisfying  $J_z - J_{z'} = n \cdot i \quad i = 0, 1, 2, \dots \rightarrow$  QTM or TA-QTM

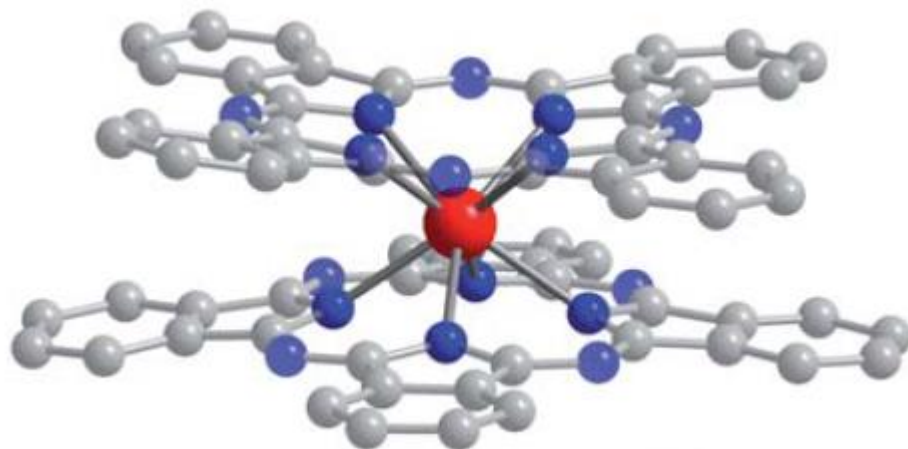
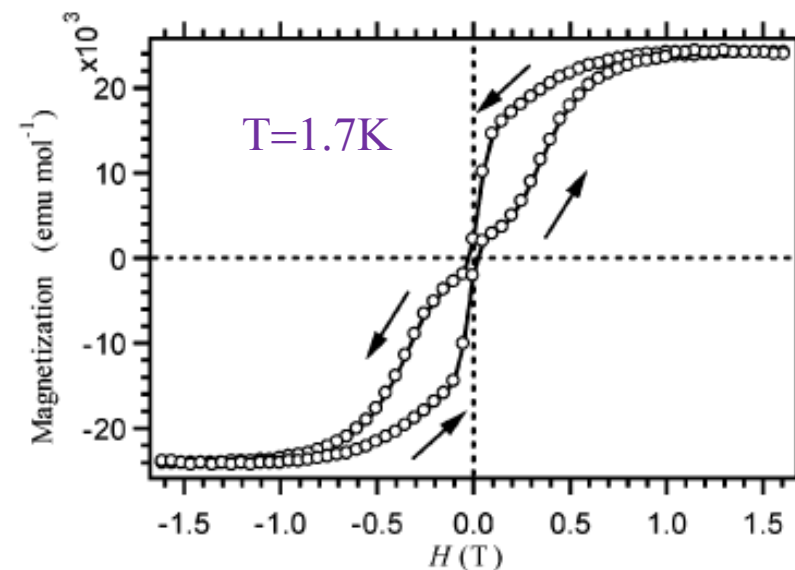


In case of QTM a net magnetization can not be stabilized in the ground state (the ground state is a superposition of spin-up and spin-down states)  $\rightarrow$  the particle can not be a bit

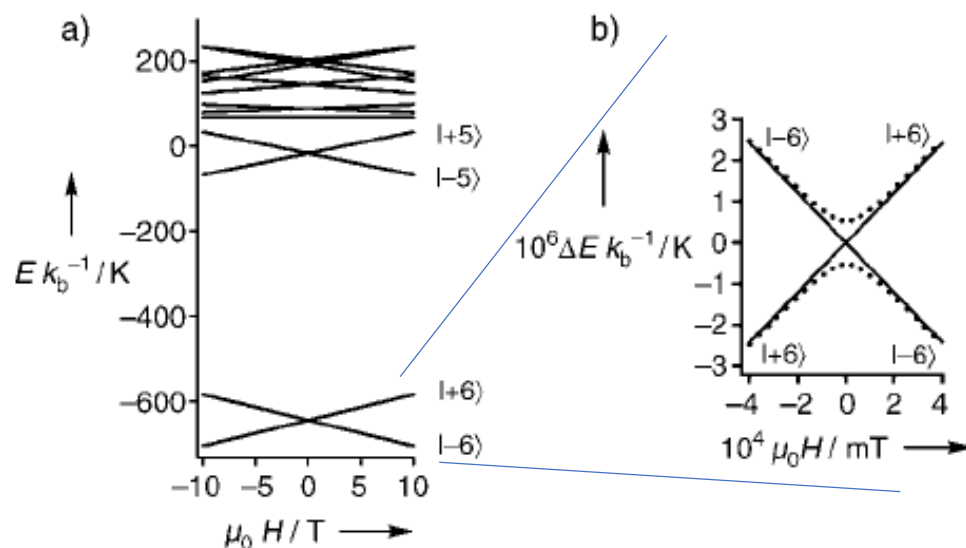


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See exercise: 8.4

TbPc<sub>2</sub>Tb is in [Xe] 6s<sup>2</sup> 5d<sup>1</sup> 4f<sup>8</sup> configuration

10.1021/jp0376065

Split states by crystal field  
with symmetry  $C_{4v}$ 

- $O_4^4$  and  $O_6^4$  operators mix the two ground states at  $B \approx 0$  (dotted lines show the  $E$  vs  $B$  of the mixed states, the continuous ones  $E$  vs  $B$  for the unperturbed states)
- States become pure (suppressed spin relaxation) already in a small field  $\Rightarrow$  butterfly shaped hysteresis curve

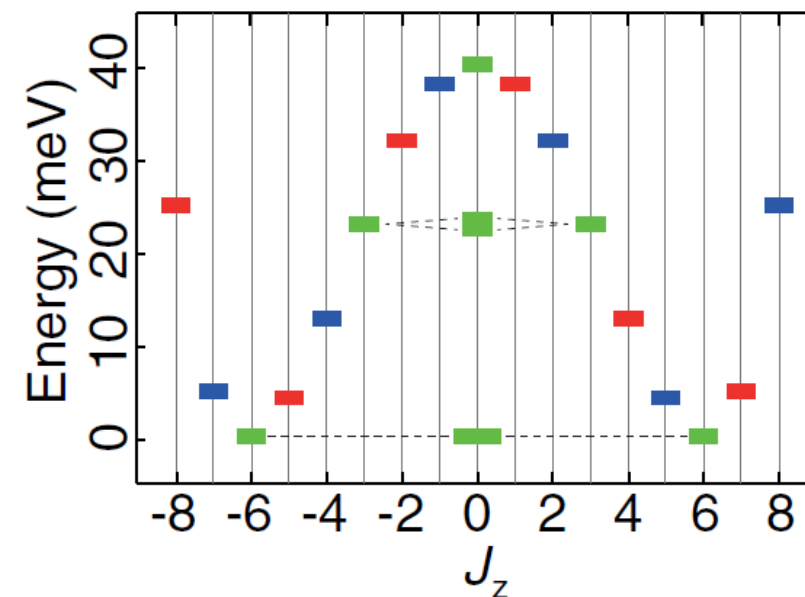
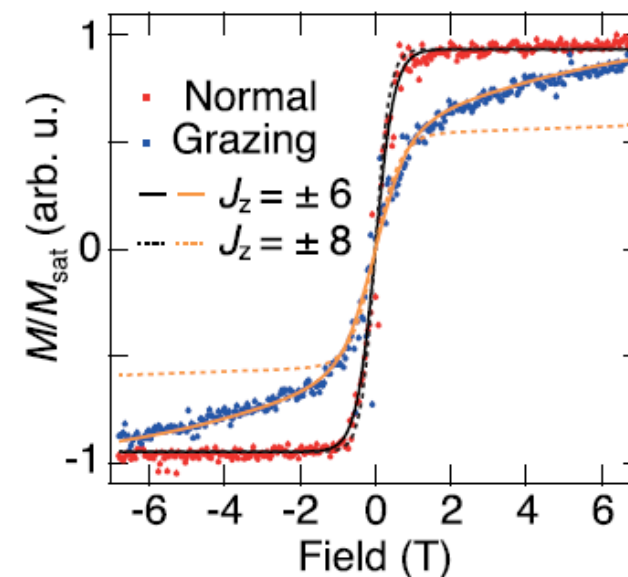
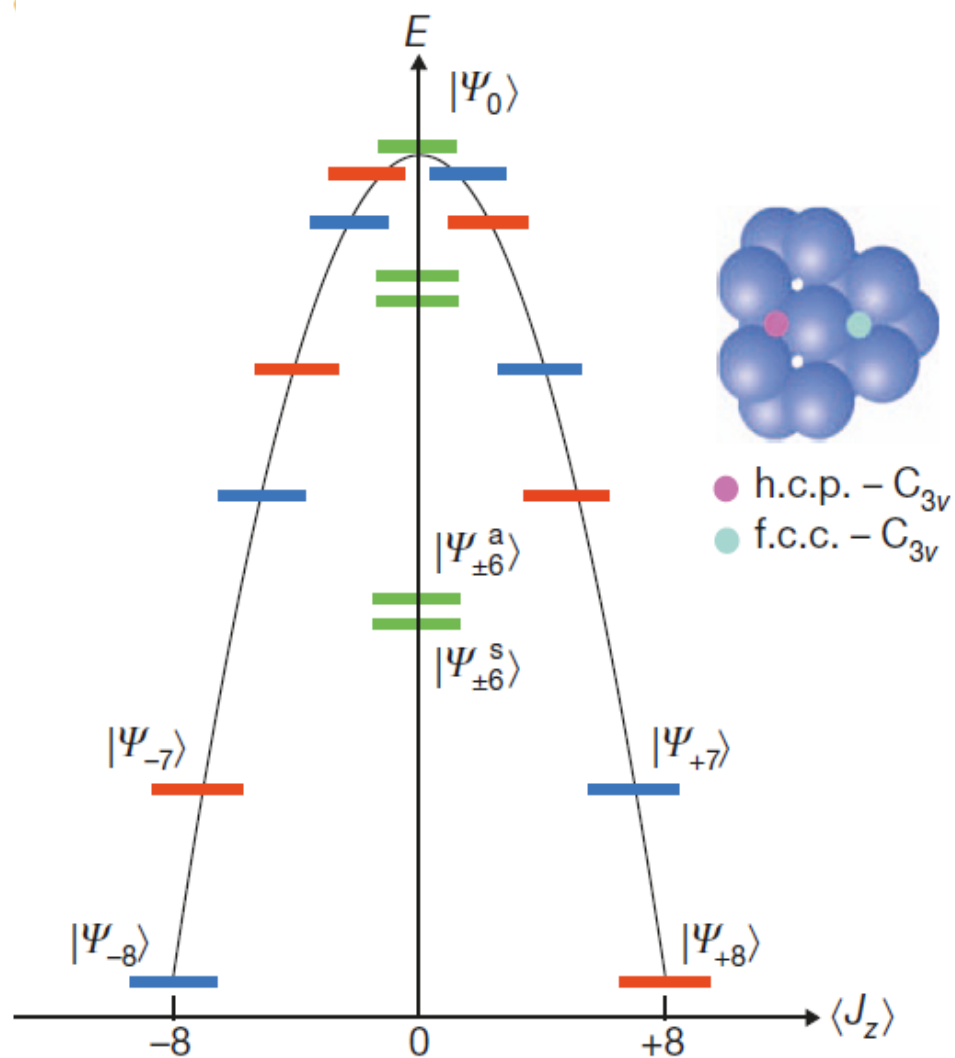




DFT prediction: stable magnetization (no QTM)

Ho/Pt(111)

Experiment: paramagnet due to QTM

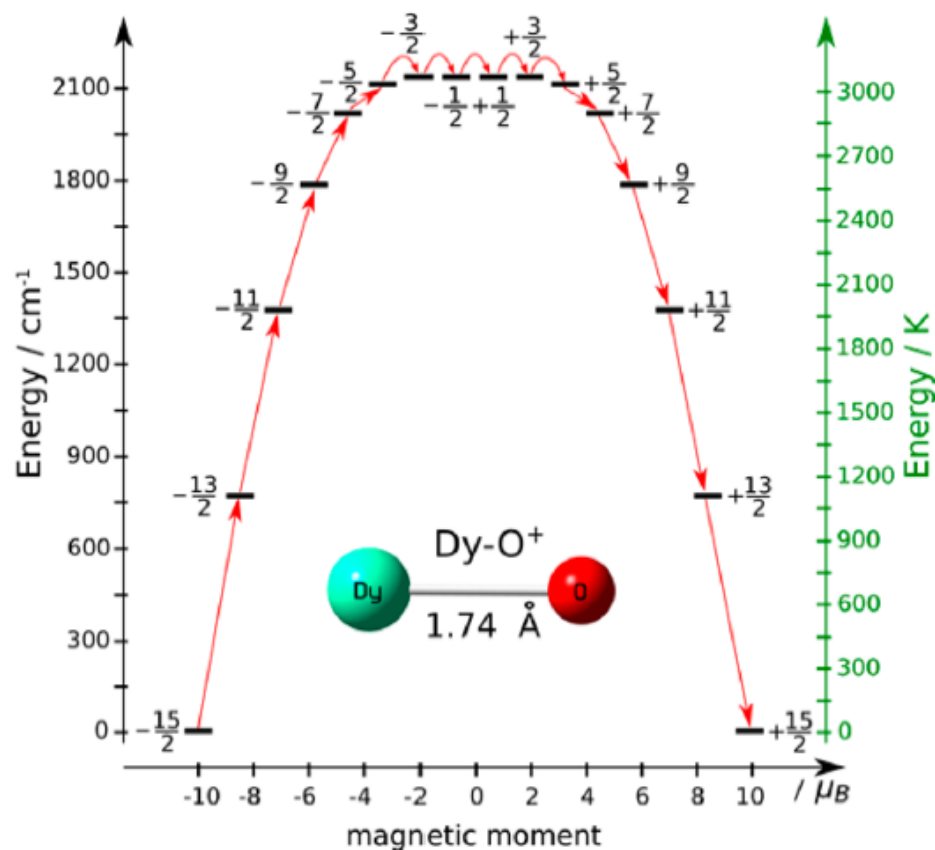




Depending on the CF symmetry, it can exist terms coupling  $J_z$  and  $-J_z$  ground states via  $J_+$  ( $J_-$ ) operator  $\rightarrow$  quantum tunneling  $\rightarrow$  no stable magnetization

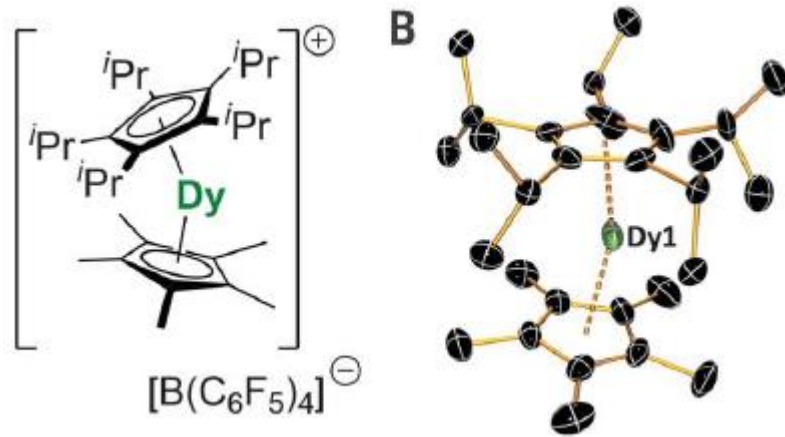
Ex.: CF with a significant  $O_4^3$  ( $C_{3v}$ ) term is not convenient for  $Dy^{3+}$  ( $J = 15/2$ ) because  $J_+^3$  links  $-15/2 \rightarrow -9/2 \rightarrow -3/2 \rightarrow 3/2 \rightarrow 9/2 \rightarrow 15/2$

Calculation for free-standing Dy-O

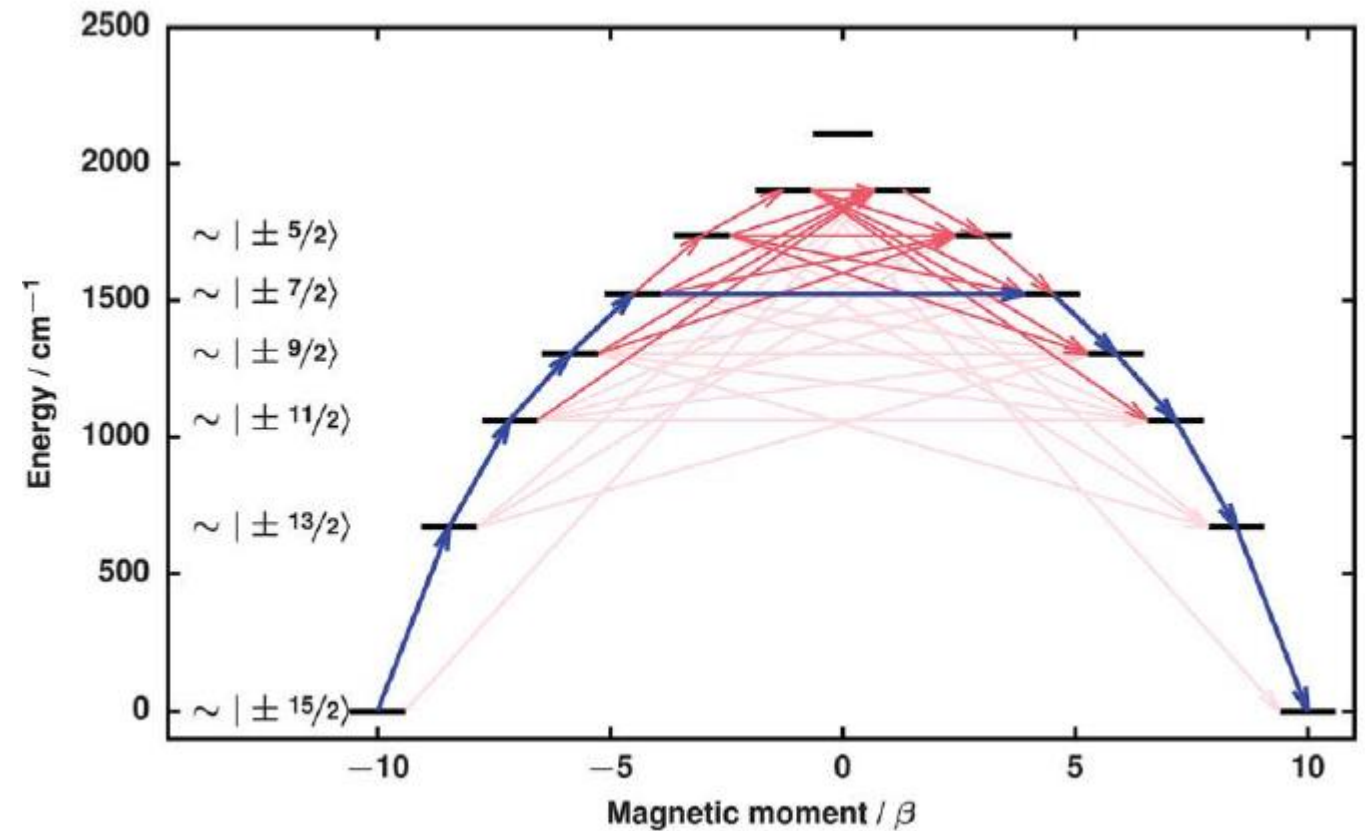


We can increase the barrier for spin reversal by judiciously choosing the coordination environment of the lanthanide ion.

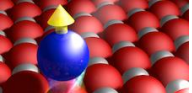
Axial CF  $\rightarrow$  only  $O_2^0$ ,  $O_4^0$  and  $O_6^0$  terms  $\rightarrow$  no quantum tunneling



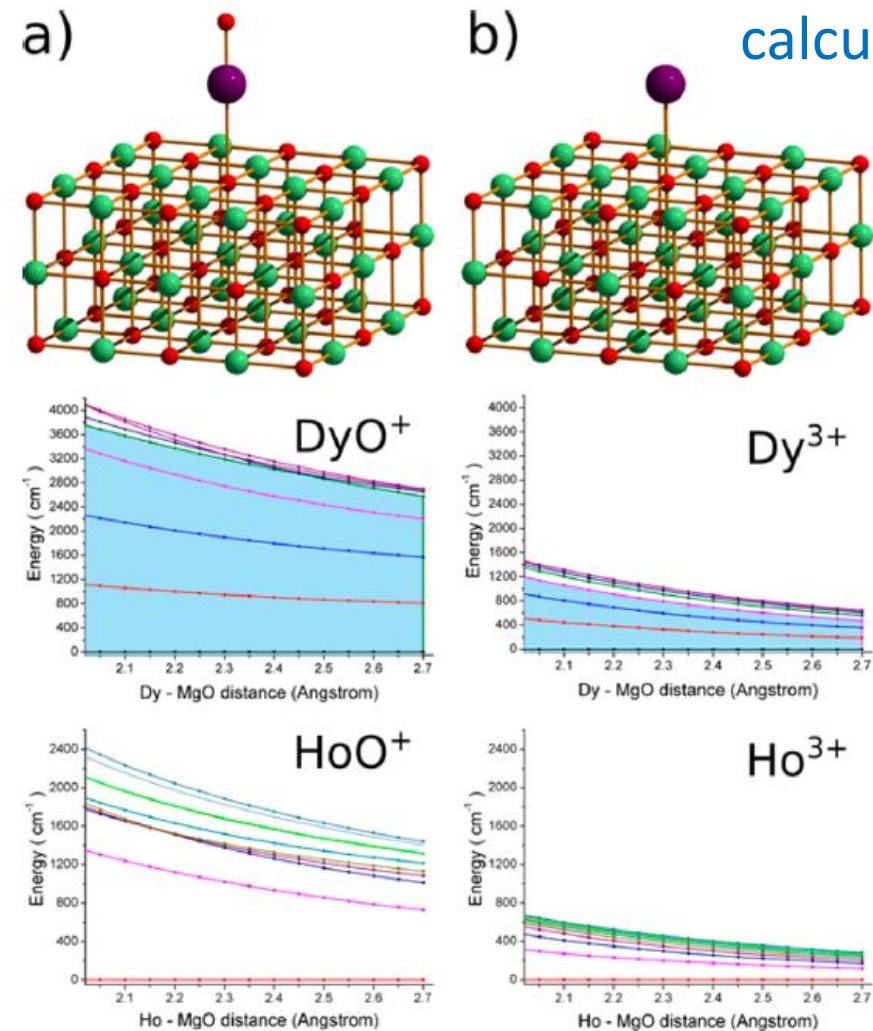
CF mainly axial  
Very small transverse terms of order 5  
No QTM (forbidden split doublets due to Kramer's theorem)



Relaxation mechanisms. Blue arrows show the most probable relaxation route, and red arrows show transitions between states with less probable, but nonnegligible, matrix elements; darker shading indicates a higher probability



# RE atoms on top-O site on MgO: almost axial CF

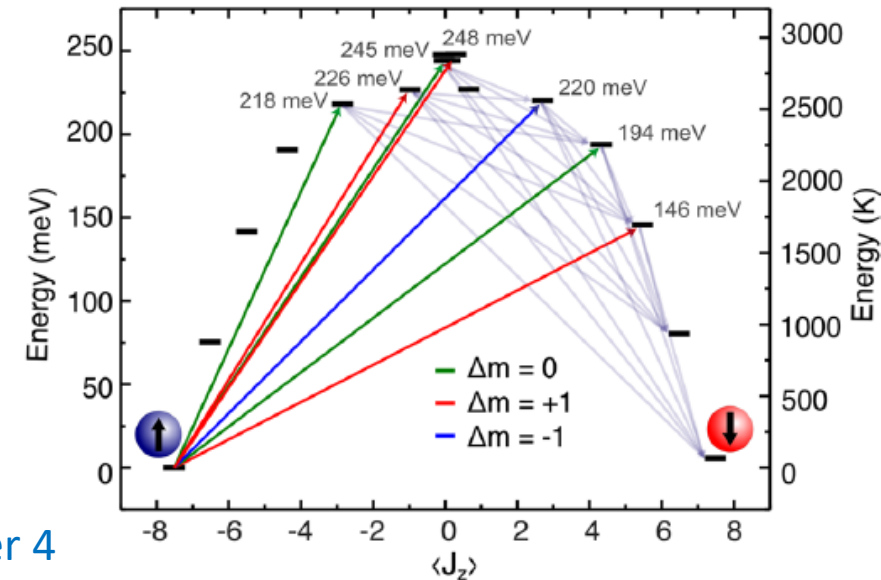


calculation

experiment

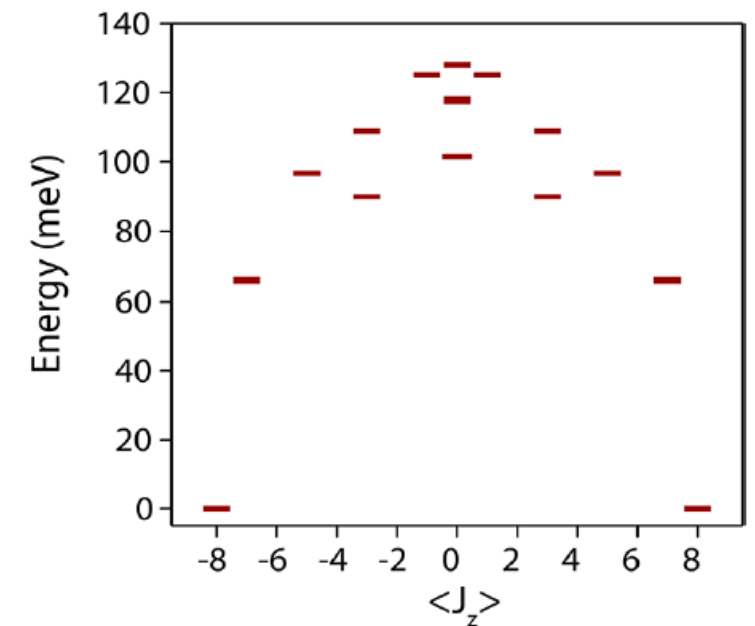
Dy<sup>3+</sup> on MgO  
(4f<sup>9</sup> ⇒ J=15/2)

Mainly axial CF  
Very small transverse terms of order 4  
(negligible QTM for Ho)



Spin lifetime of several days at 2K for both Ho and Dy

10.1103/PhysRevLett.121.027201



Ho<sup>3+</sup> on MgO  
(4f<sup>10</sup> ⇒ J=8)

$$1 \text{ eV} \approx 8000 \text{ cm}^{-1}$$

Figure 9. Comparison of the blocking barrier of [LnO]<sup>+</sup> (a) with a blocking barrier of Ln<sup>3+</sup>, where Ln = Dy and Ho, (b) deposited on the MgO surface. For these illustrative calculations, it was assumed that [LnO]<sup>+</sup> is oriented perpendicularly to the plane of the surface. Lines in the plots represent the energies of the low-lying J = 15/2 (Dy) and J = 8 (Ho) CF multiplet states.



Phonons are distortions of the crystal field  $\rightarrow H_{\text{spin-phonon}} = a (J_+, J_-) + b (J_+^2, J_-^2)$

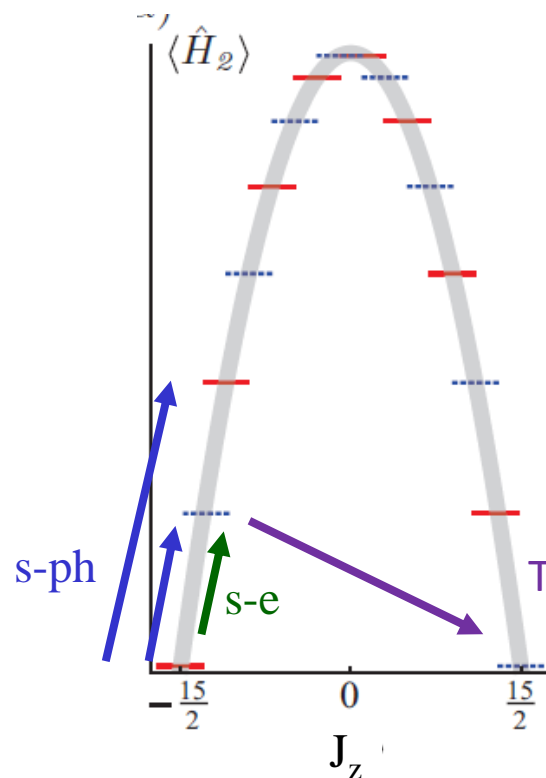
Spin-phonon scattering (s-ph) induces transitions between states differing by  $\Delta J_z = \pm 1, 2$

A. Fort, et al. Phys. Rev. Lett. **80**, 612 (1998)

Electrons have spin  $\sigma = 1/2 \rightarrow H_{\text{spin-electron}} = J_{\text{exc}} J_z \sigma_z + 1/2 J_{\text{exc}} (J_+ \sigma_- + J_- \sigma_+)$

Spin-electron scattering (s-e) induces transitions between states differing by  $\Delta J_z = \pm 0, 1$

C. Hubner *et al.*, Phys. Rev.B **90**, 155134 (2014)



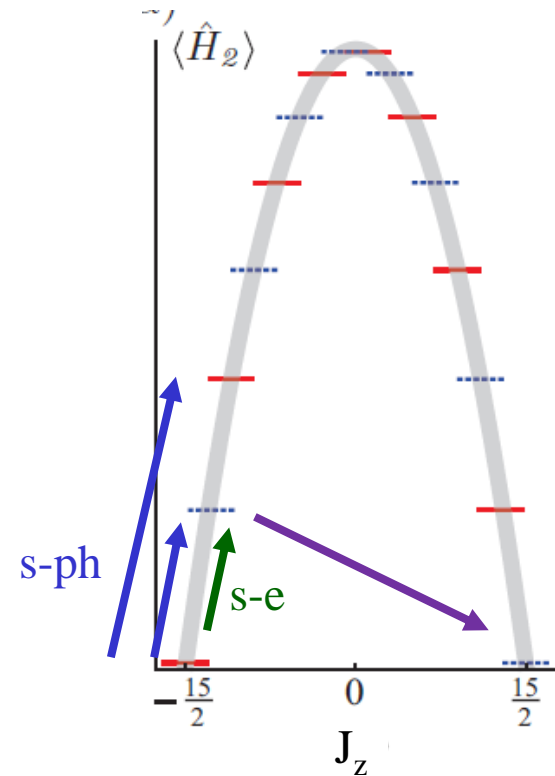
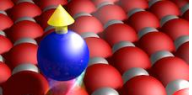
Example:

CF with  $C_{2v}$  symmetry + interaction with conduction electrons + interaction with phonons

$$H_2 = D J_z^2 + E (J_+^2 + J_-^2) + J_{\text{exc}} J_z \sigma_z + 1/2 J_{\text{exc}} (J_+ \sigma_- + J_- \sigma_+) + \alpha (J_+, J_-) + \beta (J_+^2, J_-^2)$$

TA-QTM: spin reversal via first excited state and not via the top of the barrier

**Magnetization is stable only if QTM, electron and phonon transitions are forbidden**



$$\frac{dP_m}{dt} = \sum_{m'=1}^{2J+1} P_{m'} \Gamma_{mm'}^{s-el} - \sum_{m'=1}^{2J+1} P_m \Gamma_{mm'}^{s-el} + \sum_{m'=1}^{2J+1} P_{m'} \Gamma_{mm'}^{s-ph} - \sum_{m'=1}^{2J+1} P_m \Gamma_{mm'}^{s-ph} + \Gamma_{m,-m}^{QTM} (P_{-m} - P_m)$$

$P_m$  is the population of the state  $m$

$\Gamma_{mm'}^{s-el(ph)}$  is the transition rate between states  $m$  and  $m'$  induced by a spin-electron (spin-phonon) scattering

$$\Gamma_{mm'}^{s-el(ph)} = \langle m | H_{CF} + H_{s-el} + H_{s-ph} | m' \rangle D(E)^{el(ph)} F\left(\frac{E}{k_B T}\right)^{el(ph)}$$

Interaction Hamiltonian matrix element between  $m$  and  $m'$  states

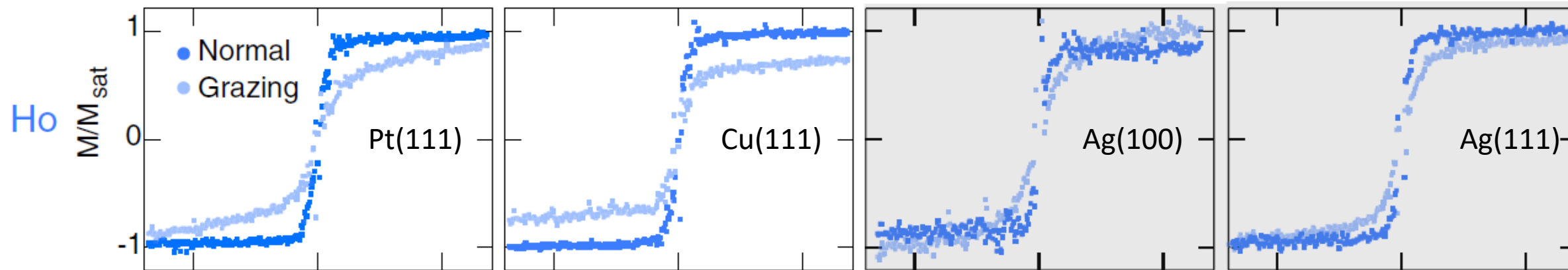
Electron (phonon) DOS

Fermi-Dirac (Bose-Einstein) function





An Ho atom shows paramagnetic behavior when adsorbed on metal surfaces



10.1103/PhysRevB.96.224418

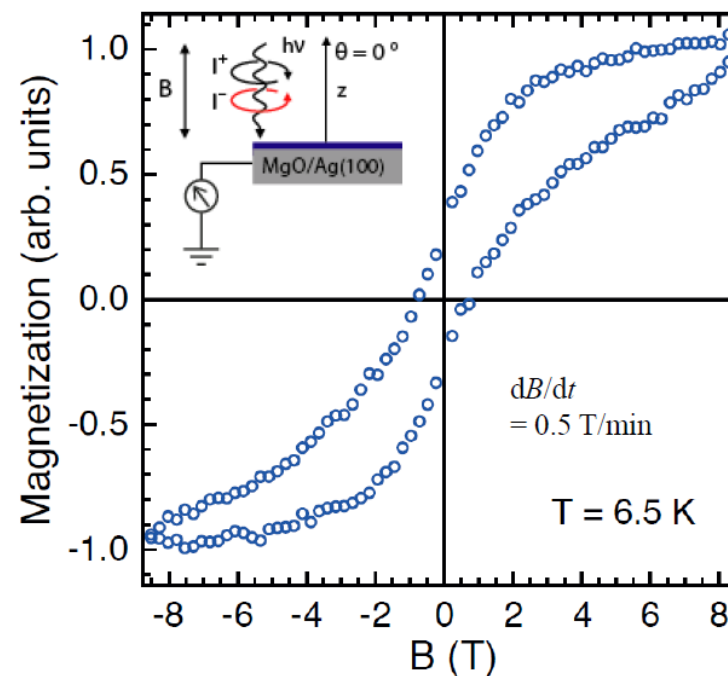
Ho atom shows hysteresis (long spin lifetime)  
when adsorbed on top-O site on a MgO/Ag(100)

Consequence of reduced:

- spin-phonon scattering (MgO is stiff)
- spin-electron scattering (MgO is an insulator)



$D(E)^{el(ph)}$  is small



Ho/MgO/Ag(100)

F. Donati *et al.*, Science **315**, 319 (2016).

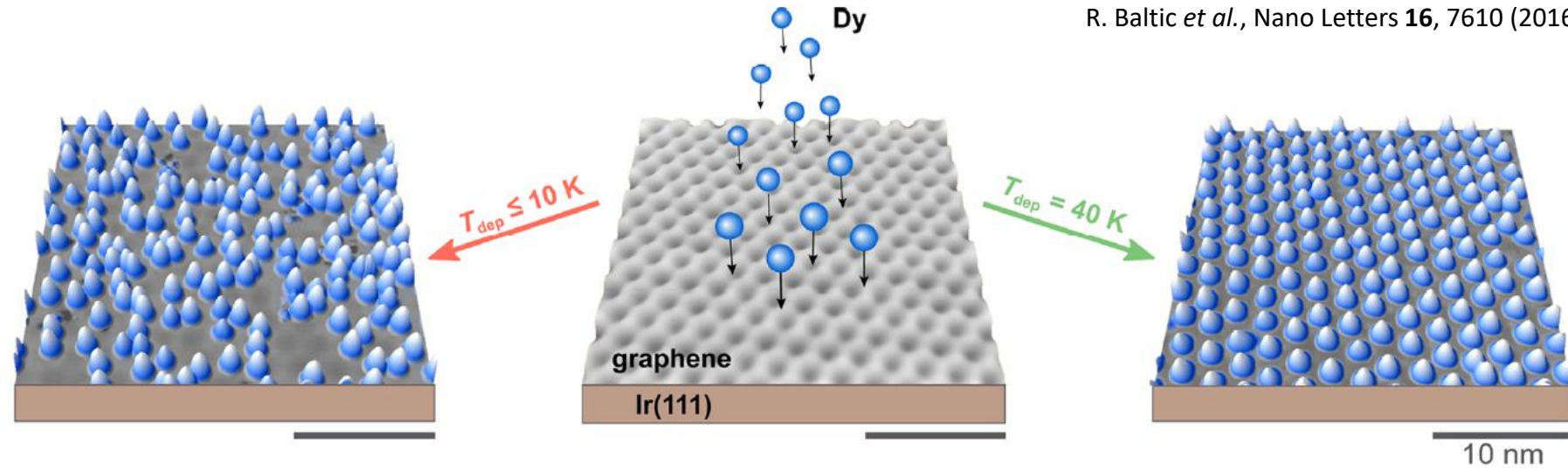




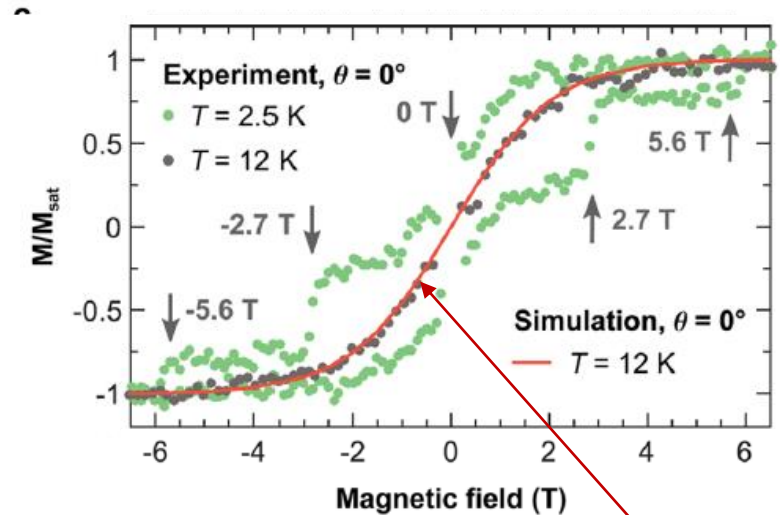
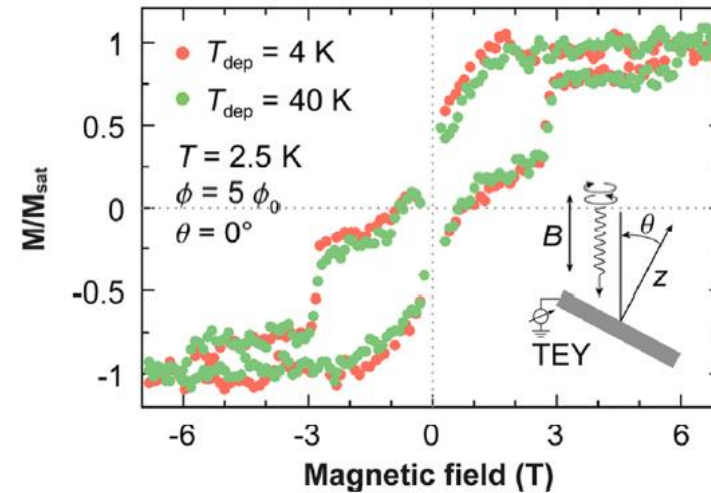
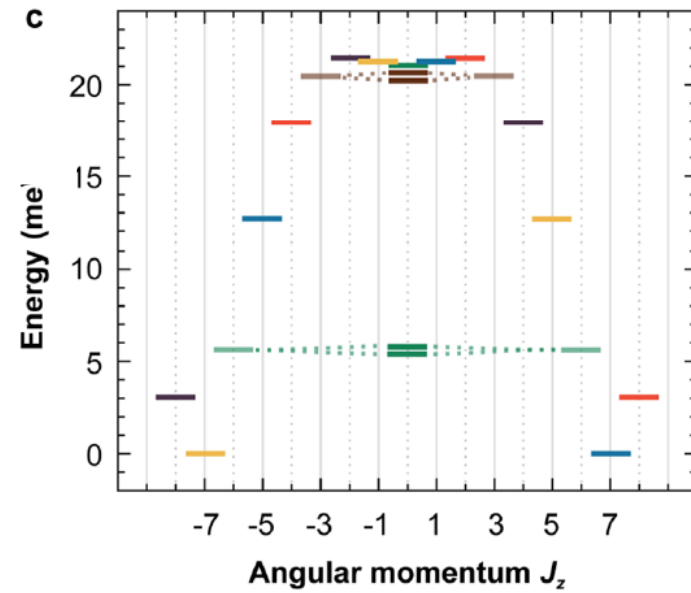
# Lattice of single atom magnets

R. Baltic *et al.*, Nano Letters **16**, 7610 (2016)

- Dy on graphene has an electronic configuration similar to the gas phase: [Xe] 6s<sup>2</sup> 4f<sup>10</sup> configuration  $\Rightarrow J = 8$
- Dy adsorbs in the center of a graphene hexagon (hollow site)  $\Rightarrow$  CF with C<sub>6v</sub> symmetry



CF with C<sub>6v</sub> symmetry



TA-QTM  $\rightarrow M \neq 0$  at  $B = 0 \text{ T}$ , and  $T$  low enough ( $< 10 \text{ K}$ )

Graphene decouples from the soft metallic support: reduced spin-phonon and spin-electron scattering ( $D(E)^{\text{el(ph)}}$  is small)

$$F\left(\frac{E}{k_B T}\right)^{\text{el(ph)}}$$